

# Transport for the North Audit & Governance Committee Agenda

<b>Date of Meeting</b>	<b>Thursday 16 September 2021</b>
<b>Time of Meeting</b>	<b>11.00 am</b>
<b>Venue</b>	<b>TBC</b>

### Filming and broadcast of the meeting

Meetings of the Transport for the North are 'webcast'. These meetings are filmed and broadcast live on the Internet. If you attend this meeting you should be aware that you might be filmed and included in that transmission.

Item No.	Agenda Item	Page
<b>1.0</b>	<b>Welcome &amp; Apologies</b>  The Chairman to welcome members to the meeting.	Verbal Report
<b>2.0</b>	<b>Declarations of Interest</b>  Members are required to declare any personal, prejudicial or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.	Verbal Report
<b>3.0</b>	<b>Minutes from the Previous Committee</b>  To formally sign off the Minutes from 18 February 2021 and 10 June 2021 (previously circulated).  To approve as a correct record the Minutes of the meeting of the Audit & Governance Committee held on 15 July 2021.	3 - 8
<b>4.0</b>	<b>Monthly Operating Report (July 2021)</b>  To note and discuss the Transport for the North Monthly Operating Report.	9 - 38
<b>5.0</b>	<b>Virtual Participation in Meetings</b>  To note the recommendations outlined in the report and to	39 - 46

	consider how the Committee should conduct future meetings.	
<b>6.0</b>	<p><b>Internal Audit Update</b></p> <p>To note the reports from RSM Risk Assurance Services:</p> <ul style="list-style-type: none"> <li>- Governance Effectiveness</li> <li>- Risk Management</li> <li>- Progress Report</li> <li>- Future Audits</li> </ul>	47 - 94
<b>7.0</b>	<p><b>External Audit Update</b></p> <p>To note the reports from Mazars:</p> <ul style="list-style-type: none"> <li>- Audit Completion Report</li> </ul>	95 - 128
<b>8.0</b>	<p><b>Year End Statutory Accounts</b></p> <ul style="list-style-type: none"> <li>- To approve the Annual Governance Statement; and</li> <li>- To recommend to the TfN Board the sign off of the Annual Statement of Accounts.</li> </ul>	129 - 218
<b>9.0</b>	<p><b>Draft Audit &amp; Governance Committee Annual Report to the TfN Board</b></p> <p>To review the draft report of the Chair of the Audit &amp; Governance Committee summarising the Committee's activities over the previous year against its Terms of Reference and recommending the approval of the 2020/21 Statutory Accounts and Annual Governance Statement.</p>	219 - 232
<b>10.0</b>	<p><b>Risk Review</b></p> <p>To highlight the significant risks associated with Transport for the North's ongoing programmes.</p>	233 - 266
<b>11.0</b>	<b>Any Other Business</b>	