

# Transport for the North Audit & Governance Committee Agenda

<b>Date of Meeting</b>	<b>Friday 21 July 2023</b>
<b>Time of Meeting</b>	<b>11.00 am</b>
<b>Venue</b>	<b>Virtual</b>

## Filming and broadcast of the meeting

Meetings of the Transport for the North are 'webcast'. These meetings are filmed and broadcast live on the Internet. If you attend this meeting you should be aware that you might be filmed and included in that transmission.

Item No.	Agenda Item	Page
<b>1.0</b>	<p><b>Welcome, apologies and Chair's remarks</b></p> <p>The Chair to welcome Members to the meeting and to update on progress for recruitment of independent members.</p> <p><b>Lead:</b> Chair</p>	
<b>2.0</b>	<p><b>Declarations of Interest</b></p> <p>Members are required to declare any personal, prejudicial, or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.</p> <p><b>Lead:</b> Chair</p>	
<b>3.0</b>	<p><b>Minutes from the Previous Meeting</b></p> <p>To approve as a correct record the minutes of the Audit &amp; Governance Committee held on Friday 17<sup>th</sup> February 2023.</p> <p><b>Lead:</b> Chair</p>	5 - 10
<b>4.0</b>	<p><b>Corporate Risk Update</b></p> <p>To provide a Corporate Risk Update</p> <ul style="list-style-type: none"> <li>• Update on Risk Management Strategy with the inclusion of the TfN Assurance Framework</li> </ul>	11 - 44

	<ul style="list-style-type: none"> <li>• Deep Dive Corporate Risk 643 Recruitment and Retention of Staff</li> </ul> <p><b>Lead:</b> Daniella Della Cerra Smith and Stephen Hipwell</p>	
<b>5.0</b>	<p><b>Year-end draft Statutory Accounts including Annual Governance Statement</b></p> <p>To note and discuss the draft Statutory Accounts for 2022/23 and the Annual Governance Statement.</p> <p><b>Lead:</b> Paul Kelly and Angela Harwood</p>	45 - 124
<b>6.0</b>	<p><b>Internal Audit Update</b></p> <p>To note the reports from RSM Risk Assurance Services</p> <ul style="list-style-type: none"> <li>• Progress Report 2023/24</li> <li>• Follow up Report</li> <li>• ED&amp;I Framework Report</li> <li>• Project Management Arrangements Report</li> <li>• Annual Summary</li> <li>• IT and Remote Working Asset Management Review</li> </ul> <p><b>Lead:</b> Alex Hire</p>	125 - 202
<b>7.0</b>	<p><b>External Audit Update</b></p> <p>To note the Audit Strategy Memorandum (ASM) for 2022/23 audit.</p> <p><b>Lead:</b> Daniel Watson</p>	203 - 234
<b>8.0</b>	<p><b>Quarterly Operating Report (Jan - Mar)</b></p> <p>Note the Transport for the North Quarterly Operating Report Jan – Mar 2023.</p> <p><b>Lead:</b> Paul Kelly</p>	235 - 260
<b>9.0</b>	<p><b>Date and Time of Next Meeting</b></p> <p>The next meeting of the Audit and Governance Committee will be held on Friday 15<sup>th</sup> September 11:00 – 13:00</p>	