

Transport for the North Audit & Governance Committee – Item 5

Subject: Internal Audit Update
Author: James Lyon
Sponsor: Iain Craven
Meeting Date: Thursday 18 February 2021

1. Purpose of the Report:

- 1.1 To enable RSM, as TfN's Internal Auditor, to report upon the progress of the annual audits of TfN's systems and governance.

2. Executive Summary:

- 2.1 The Internal Audit Reports produced by RSM are attached as Items 5.1 – 5.4
- 2.2 RSM may provide a further verbal update of the Internal Audits during the Audit & Governance Committee.

3. Recommendation:

- 3.1 That the Committee notes the Internal Audit Update.

4. Appendices:

- 4.1 Item 5.1 – Internal Audit Progress Report
Item 5.2 – Investment Assurance Programme Review
Item 5.3 – New Payment (Commissioning) Processes
Item 5.4 – Income and Debtor Management

List of Background Documents:

There are no background documents.

Required Considerations
Equalities:

Age		No
Disability		No
Gender Reassignment		No
Pregnancy and Maternity		No
Race		No
Religion or Belief		No
Sex		No
Sexual Orientation		No

Consideration	Comment	Responsible Officer	Director
Equalities	A full Impact assessment has not been carried out because it is not required for this report	Julie Openshaw	Dawn Madin

Environment and Sustainability

	No
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Consideration	Comment	Responsible Officer	Director
Sustainability / Environment	A full impact assessment has not been carried out because it is not required for this report.	Julie Openshaw	Dawn Madin

Legal

Yes	
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Consideration	Comment	Responsible Officer	Director
Legal	The legal implications have been considered and are included in the report.	Julie Openshaw	Dawn Madin

Finance

Yes	
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Consideration	Comment	Responsible Officer	Director
Finance	There financial implications are detailed in the report.		Iain Craven

Resource

	No
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Consideration	Comment	Responsible Officer	Director
Resource	There are no resource implications as a result of the report.	Julie Openshaw	Dawn Madin

Risk

	No
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Consideration	Comment	Responsible Officer	Director
Risk	There are no risks associated with the content of this report.	Julie Openshaw	Dawn Madin

Consultation

	No
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Consideration	Comment	Responsible Officer	Director
Consultation	A consultation has not been carried out because it is not necessary for this report.	Julie Openshaw	Dawn Madin