

**Meeting:** Transport for the North Audit and Governance Committee

**Subject:** Internal Audit Update

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**Meeting Date:** Thursday 16 September 2021

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**1. Purpose of the Report:**

1.1 To enable RSM, as TfN's Internal Auditor, to report upon the progress of the annual audits of TfN's systems and governance.

**2. Executive Summary:**

2.1 The Internal Audit Reports produced by RSM are attached as Items 6.1, 6.2 and 6.3.

2.2 RSM may provide a further verbal update of the Internal Audits during the Audit & Governance Committee.

**3. Recommendation:**

3.1 That the Committee notes the Internal Audit reports.

**4. Corporate Considerations:**

4.1 ***Financial and Resource Implications***

The financial implications are detailed in the report.

There are no resource implications as a result of the report.

4.2 ***Legal Implications***

The legal implications are included in the report.

4.3 ***Risk Management and Key Issues***

There are no risks associated with the content of this report.

#### 4.4 ***Environmental Implications***

A full impact assessment has not been carried out because it is not required for this report.

#### 4.5 ***Equality and Diversity***

A full impact assessment has not been carried out because it is not required for this report

#### 4.6 ***Consultations***

A consultation has not been carried out because it is not necessary for this report.

### **5. Background Papers**

- 5.1 Risk Management Audit  
Governance Effectiveness Audit  
Progress Report 2021

### **6. Appendices:**

- 6.1 Item 6.1 – Risk Management Audit  
Item 6.2 – Governance Effectiveness Audit  
Item 6.3 – Progress Report September 2021