

Meeting: Transport for the North Audit and Governance Committee

Subject: Internal Audit Update

Author: James Lyon, Legal Assistant

Sponsor: Paul Kelly, Interim Finance Director

Meeting Date: Friday 10 June 2022

1. Purpose of the Report:

1.1 To enable RSM, as TfN's Internal Auditor, to report upon the progress of the annual audits of TfN's systems and governance.

2. Recommendations:

2.1 That the Committee notes the Internal Audit reports.

3. Audit Key Points:

3.1 The Follow-Up Audit looked at seven previous audits to ascertain if the recommended action points had been implemented.

3.2 RSM have also supplied:

- Internal Audit Progress Report

3.3 RSM will provide a further verbal update of the details within these reports during the Audit & Governance Committee.

4. Corporate Considerations

Financial Implications

4.1 The financial implications are detailed in the report

Resource Implications

4.2 There are no resource implications as a result of the report.

Legal Implications

4.3 There are no legal implications as a result of the report.

Risk Management and Key Issues

4.4 The risks associated with the audits are detailed in the report.

Environmental Implications

4.5 A full impact assessment has not been carried out because it is not required for this report.

Equality and Diversity

4.6 A full impact assessment has not been carried out because it is not required for this report.

Consultations

4.7 A consultation has not been carried out because it is not necessary for this report.

5. Background Papers

5.1 There are no background papers to this report.

6. Appendices

6.1 Item 7.1 – Follow Up Audit

Item 7.2 – Internal Audit Progress Report, June 2022