
Meeting: Transport for the North Audit and Governance Committee
Subject: Internal Audit Update
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Sponsor: N/A
Meeting Date: Friday 8 March 2024

1. Purpose of the Report:

- 1.1 To enable RSM, TfN's Internal Auditor, to report upon the progress of the annual audits of TfN's systems and governance.

2. Recommendations:

- 2.1 That the Committee notes the Internal Audit reports.

3. Audit Key Points:

- 3.1 To support the progress of the internal audit programme, RSM have provided the following reports for consideration:
- Progress Report – emerging risk radar; global internal audit standards; taking action to drive improvements
 - Audit Plan 24/25
 - Staff Mental Health and Wellbeing Report
 - IT Access Management Security Report
- 3.2 RSM will brief members on the contents and findings of these reports and field any questions during the meeting.

4. Corporate Considerations:

Financial Implications

- 4.1 The financial implications are detailed in the report

Resource Implications

- 4.2 There are no resource implications as a result of the report.

Legal Implications

- 4.3 There are no legal implications as a result of the report.

Risk Management and Key Issues

- 4.4 The risks associated with the audits are detailed in the report.

Environmental Implications

- 4.5 A full impact assessment has not been carried out because it is not required for this report.

Equality and Diversity

- 4.6 A full impact assessment has not been carried out because it is not required for this report.

Consultations

4.7 A consultation has not been carried out because it is not necessary for this report.

5. Background Papers:

5.1 There are no background papers to this report.

6. Appendices:

6.1 Appendix 1 Progress Report

- emerging risk radar
- global internal audit standards
- taking action to drive improvements

Appendix 2 Audit Plan 24/25

Appendix 3 Staff Mental Health and Wellbeing Report

Appendix 4 IT Access Management Security Report